



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kathryn E. Uhrich

Title: THERAPEUTIC AZO-COMPOUNDS FOR DRUG DELIVERY

Docket No.: 1435.010US3

Serial No.: 10/712,416

Filed: November 10, 2003

Due Date: April 24, 2004

Examiner: Unknown

Group Art Unit: 1615

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$65.00 to cover the late Oath and Declaration surcharge.
- ☒ A check in the amount of \$773.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ A check in the amount of \$475.00 to cover the Extension Fee.
- ☒ Petition for Three-Month Extension of Time (1 pg.)
- ☒ Communication Re: Missing Parts (1 pg.).
- ☒ A signed Combined Declaration and Power of Attorney (3 pgs.).
- ☒ Notice to File Missing Parts (2 pg.).

**Applicant claims small entity status under 37 C.F.R. 1.27.**

**If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.**

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number: 21186

By: 

Name: Monique M. Perdok Shonka

Reg. No. 42,989

MMP:CMG:lam

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 14 day of July, 2004

Lynne Mitchell-Becker  
Name

Lynne Mitchell-Becker  
Signature



S/N 10/712416

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kathryn E. Uhrich

Examiner: Unknown

Serial No.: 10/712416

Group Art Unit: 1615

Filed: November 10, 2003

Docket: 1435.010US3

Customer No.: 21186

Confirmation No.: 7606

Title: THERAPEUTIC AZO-COMPOUNDS FOR DRUG DELIVERY

COMMUNICATION RE: MISSING PARTS

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the Combined Declaration and Power of Attorney, a check in the amount of \$65.00 to cover the Small entity surcharge, and a check in the amount of \$773.00 to cover the small entity basic filing fee and additional claims fee.

Applicant assumes the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6905.

If necessary, please charge any additional fees or credit overpayment to Deposit Account 19-0743.

Respectfully submitted,

Kathryn E. Uhrich

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6905

Date

July 14, 2004

By

Monique M. Perdok Shonka

Monique M. Perdok Shonka

Reg. No. 42,989

MMP:CMG:lam

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 14 day of July, 2004

Lynne Mitchell-Baker  
Name

Lynne Mitchell-Baker  
Signature



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/712,416	11/10/2003	Kathryn E. Uhrich	1435.010US3

Schwegman, Lundberg, Woessner & Kluth, P.A.  
 P.O. Box 2938  
 Minneapolis, MN 55402

CONFIRMATION NO. 7606

## FORMALITIES LETTER



\*OC000000011956310\*

07/20/2004 HDEMESS1 00000051 10712416

01 FC:2051

65.00 OP

Date Mailed: 02/24/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/20/2004 HDEMESS1 00000051 10712416

FILED UNDER 37 CFR 1.53(b)

02 FC:2001 385.00 OP  
 03 FC:2202 243.00 OP  
 04 FC:2203 145.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$776 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1676 for a Large Entity

385.  
 65.
- ~~\$770~~ Statutory basic filing fee.
  - ~~\$130~~ Late oath or declaration Surcharge.
  - Total additional claim fee(s) for this application is \$776

PORTFOLIO I.P.

FEB 27 2004

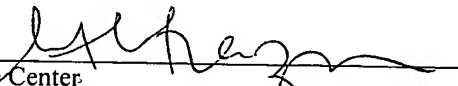
RECEIVED

- \$486 for 27 total claims over 20. 243.00
- \$290 for multiple dependent claim surcharge. 145.00

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE